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PTO/SB/92 (08-00)

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on <u>May 10, 2002</u>.

Date

Jerry Cohen, Reg. No. 20,522

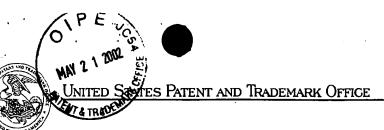
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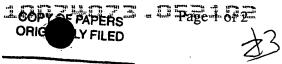
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Signature

PTO/SB/21
PTO/SB/17
Preliminary Amendment
check for \$130
check for \$40
Assignment
PTO/SB/01
PTO/SB/81
6 sheets drawing
copy of Notice to File Missing Parts

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.





COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER 02/12/2002

10/074.073

Leah Simkins

NRC P56 (6160)

26486 PERKINS, SMITH & COHEN LLP ONE BEACON STREET 30TH FLOOR BOSTON, MA 02108

CONFIRMATION NO. 6693 FORMALITIES LETTER OC000000007623477

Date Mailed: 03/12/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The following item(s) appear to have been **omitted** from the application:

- Figure(s) Fig. 3 & 4 described in the specification.
- I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit must be filed within TWO MONTHS of the date of this Notice. The petition fee will be refunded if is determined that the item(s) was received by the USPTO.
- II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date must be filed within TWO MONTHS of the date of this Notice.
- III. The failure to file a petition (and petition fee) under the above options (I) or (II) within TWO MONTHS of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b). In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing

05/22/2002 JADDO1 00000019 10074073

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date as of the date of deposit of the application papers in the USPTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

A copy of this notice MUST be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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Application Number

Group / Art Unit

EE	TR	AN	SMI	TTA	۱L
	for	FY	200	1	

Filing Date 02/12/2002

First Named Inventor | Leah Simkins et al.

2831

10/074,073

Patent fees are subject to annual revision.

First Named Inventor Leah Simkins et al

Examiner Name

Complete if Known

TOTAL AMOUNT OF PAYMENT

(\$) \$170.00

Attorney Docket No. NRC P56 US

METHOD OF PAYMENT (check one)					FEE CALCULATION (continued)								
The Commissioner is hereby authorized to charge						3. ADDITIONAL FEES							
1. indicated fees and credit any over payments to:			l	Large		Small							
				··				Fee	Entity Fee	Fee	Entity Fee		Fee
Dep		03-24	10			ł		Code	(\$)	Code	(\$)	Fee Description	Paid
Number						105	130	205	65	Surcharge - late filing fee or oath	\$130		
Dep	nsit							127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
Acc		Perkir	ns, Smit	h & Cohen, LLP				139	130	139	130	Non-English specification	
Nam	e							147	2,520	147	2,520	For filing a request for reexamination	
	Charge Ar Under 37							112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
	Applicant See 37 C	FR 1.27		y status.				113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
2.	Payme	nt Enclos	sed:					115	110	215	55	Extension for reply within first month	
. ⊠	Check	☐ Cre	edit card			Other		116	390	216	195	Extension for reply within second month	
				Order				117	890	217	445	Extension for reply within third month	
1.	BASIC FI	LING FE		ALCULATION				118	1,390	218	695	Extension for repty within fourth month	
Large	Entity		– Entity					128	1,890	228	945	Extension for reply within fifth month	
Fee	•		Fee	Fee Descriptio	n			119	310	219	155	Notice of Appeal	
Code	(\$)	Code	(\$)	•		Fee Paid	_	120	310	220	155	Filing a brief in support of an appeal	
101	710	201	355	Utility filing fee			╛	121	270	221	135	Request for oral hearing	
106 107			160 245	Design filing fee Plant filing fee	•		-	138	1,510	138	1,510	Petition to institute a public use proceeding	
108	710	208	355	Reissue filing fe	e		1	140	110	240	55	Petition to revive - unavoidable	
114	150	214	75	Provisional fillin	g fee		1	141	1,240	241	620	Petition to revive - unintentional	
					-		_	142	1,240	242	620	Utility issue fee (or reissue)	
		SU	BTOTA	L (1)		(\$) 0	١	143	440	243	220	Design issue fee	
2 FXTE	RA CLAII	A FFFS						144	600	244	300	Plant issue fee	
	U. U.			Extra (Fee from	Fee		122	130	122	130	Petitions to the Commissioner	
Total Clain	ns	-20) =	Ctaims t	pelow 18	Paid = 0	7	123	130	123	130	Petitions related to provisional applications	
Independer Claims	nt 🗍	-3	=	0 x	84	= 0	1	126	180	126	180	Submission of Information Disclosure Stmt	
Multiple Dependent	×			×[280	= 0	j	581	40	581	40	Recording each patent assignment per property (times number of properties)	\$40
Large Fee	Entity Fee	Small Fee	Entit Fee	•				146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code	(\$)	Code	(\$)	Fee Descrip	uon			149	710	249	355	For each additional invention to be	\Box
103	18	203	9	Claims in ex	cess of 20			1	-			examined (37 CFR § 1.129(b))	
102	80	202	40	•	Independent claims in excess of 3			179	710	279	355	Request for Continued Examination (RCE)	
104	270	204	135	Multiple depo		•						•	
109 80 209 40 Original patent				169	900	169	900	Request for expedited examination of a design application					
110	over onginal patent												
	SUBTOTAL (2) (\$) 0 Other fee (specify)												
**or num	*Reduced by Basic Filling Fee Paid SUBTOTAL (3) (\$) \$170.00							70.00					

SUBMITTED BY Complete (if applicable)							
Name (Print/Type)	Jerry Cohen	Registration No. Attorney/Agent)	20,522	Telephone	(617) 854-4000		
Signature	·~~	\subseteq	-	Date	05/10/2002		





05/10/2002

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/074,073	
Filing Date	02/12/2002	
First Named Inventor	Leah Simkins	
Group Art Unit	2831	
Examiner Name		
Attorney Docket Number	NRC P56 US	

Total Number of Pages in This Su	omission 19	Attorney Docket Num	ber NRC P56 US				
	ENCL	OSURES (che	ck all that apply)				
Fee Transmittal Form Fee Attached Amendment / Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Assignr (for an a) Prawing Licensii Petition Provisic Power Change Addres Termina Reques	nent Papers Application) g(s) ng-related Papers to Convert to a nnal Application of Attorney, Revocation of Correspondence	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): check for \$130, check for \$40, Preliminary Amendment, FIGS. 3, 4 marked red				
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
Firm or Individual name							
Signature	ha La	n L					
Date		05/10/2002					
CERTIFICATE OF MAILING							
I hereby certify that this correspondence is be mail in an envelope addressed to: Commission	ng deposited with t ner for Patents, Wa	osited with the United States Postal Service with sufficient postage as first class Patents, Washington, DC 20231 on this date: 5/10/2002					

Date

Jerry Cohen, Reg. No. 20,522

Typed or printed name

Signature